

CERTIFICATE OF MAIL

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Grace Alicea

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: June 24, 2003

NACK

Serial No.: 09/261,030

Group Art Unit: 2167

Filed: March 2, 1999

Examiner: Cuff, Michael A.

For: METHOD AND SYSTEM FOR MANAGING A CONSUMER TRANSACTION  
SYSTEM WITH A MOBILE MANAGEMENT DEVICE

Mail Stop AF  
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P.O. Box 1450  
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SEP 24 2003

GROUP 3600

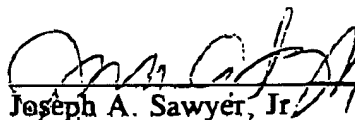
**NOTICE OF APPEAL OF DECISION OF THE PRIMARY EXAMINER TO THE  
BOARD OF PATENT APPEALS AND INTERFERENCES UNDER 37 CFR 1.191**

Sir:

In the Final Office Action dated March 24, 2003, and the Advisory Action issued June 10, 2003, the Examiner has rejected claims 2, 5, 6, 8-11, 15, 16, 18-20, 23 and 24. In response, Applicant hereby appeals to the Board the decision of the Primary Examiner. An Appellant's Brief will be filed in triplicate within two months of the date of this Notice.

The Commissioner is hereby authorized to charge the amount of \$320.00 to Deposit Account No. 50-0563 (IBM Corporation) for payment of Notice of Appeal filing fee pursuant to 37 CFR 1.17(b).

Respectfully submitted,



Joseph A. Sawyer, Jr.  
Sawyer Law Group LLP  
Attorney for Applicant(s)  
Reg. No. 30,801  
(650) 493-4540

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JUL 02 2003

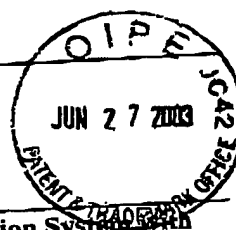
Docket No: RA9-98-072/1230RCE

Serial No: 09/261,030

Inventor(s): NACK

Date: June 24, 2003

Filed: 3/2/1999

Title: Method and System for Managing a Consumer Transaction System with  
Mobile Management Device

- ☒ Transmittal
- ☒ Notice of Appeal
- ☐ Response to
- ☐ Executed Declaration
- ☐ Assignment + Recordation Sheet
- ☐ Information Disclosure Statement
- ☐ Form 1449 with \_\_\_ References

- ☐ Petition for Extension of Time
- ☐ Part B-Issue Fee Transmittal
- ☐ Letter to Draftsman
- ☐ \_\_\_ Sheets of Formal Drawings
- ☒ Fee Payment: \$ 320.00
- ☒ Deposit Account (IBM Corp.)
- ☐ Check No:

The Stamp of the USPTO Hereon Certifies Receipt of the Above

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**SAWYER LAW GROUP, LLP**  
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Palo Alto, California 94303  
Telephone: (650) 493-4540  
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**FACSIMILE TRANSMITTAL**

**Date:** September 23, 2003  
**Attention:** Sonya  
**For:** Examiner Bob Olszenski  
**Organization:** USPTO  
**Fax Number:** (703) 308-3687  
**Phone Number:** (703) 308-9032  
**From:** Yolanda Kepner / Executive (Legal) Assistant  
**Re:** U.S. Patent Application  
Serial No. 09/261,030

Number of pages 1 of 5 (including this fax sheet)

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SEP 24 2003  
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Pursuant to my telephone conversation with Examiner Bob Olszenski. The following copies of documents are being transmitted herewith:

- Transmittal Form, Notice of Appeal of Decision of the Primary Examiner to the Board of Patent Appeals and Interferences Under 37 CFR 1.191.
- Both the postcard and return receipt postcard that was mailed to the USPTO on June 24, 2003, and stamped as received, on June 27, 2003.

**CONFIDENTIALITY NOTE:**

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## TRANSMITTAL FORM

Attorney Docket No.

RA9-98-072/1230RCE

In re the application NACK

Date: June 24, 2003

Serial No: 09/261,030

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Filed: March 2, 1999

Examiner: Cuff, Michael A.

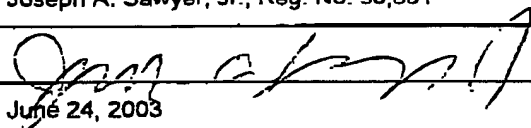
For: Method and System for Managing a Consumer Transaction System with a Mobile Management Device

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ENCLOSURES (check all that apply)					
<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group
<input type="checkbox"/>	After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input type="checkbox"/>	Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input checked="" type="checkbox"/>	Notice of Appeal
<input type="checkbox"/>	Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter
<input type="checkbox"/>	(X) Copies of References	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard
<input type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input type="checkbox"/>	Other Enclosure(s) (please identify below):
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer	FAX RECEIVED SEP 24 2003 GROUP 3600	
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address		
<input type="checkbox"/>	Response to Missing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for <del>xxxxx</del> month(s) from to .			
<input type="checkbox"/>	Executed Declaration by Inventor(s)				

CLAIMS					
FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	0	0	0	\$18.00	\$ 0.00
Independent Claims	0	0	0	\$84.00	\$ 0.00
				Total Fees	\$ 0.00

METHOD OF PAYMENT	
<input type="checkbox"/>	Check no. _____ in the amount of \$ _____ is enclosed for payment of fees.
<input checked="" type="checkbox"/>	Charge \$ <u>320.00</u> to Deposit Account No. <u>50-0563</u> (IBM Corporation) for payment of fees.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. <u>50-0563</u> (IBM Corporation)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Attorney Name	Joseph A. Sawyer, Jr., Reg. No. 30,801
Signature	
Date	June 24, 2003

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Type or printed name	Grace Alicea
Signature	